

Annual Report

Fiscal Year
2010



Office for Technology Licensing and Industry Collaboration

TUFTS UNIVERSITY
TUFTS MEDICAL CENTER

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Tufts University

Fiscal Year 2010 (Ended June 30)

OTL&IC Income Summary

| | |
|------------------------------------|--------------------|
| Income - Technology | \$7,401,189 |
| Income - Trademarks and Copyrights | \$105,799 |
| Legal Expense Reimbursements | \$1,057,738 |
| Total Income | \$8,564,726 |

Technology Transfer Activities

| | |
|----------------------------|----|
| Invention Disclosures | 76 |
| New US Patent Apps Filed | 29 |
| Total US Patent Apps Filed | 67 |
| Foreign Patent Apps Filed | 63 |
| US Patents Issued | 19 |
| Nondisclosure Agreements | 70 |
| Licenses/Options Concluded | 11 |
| Startup Company | 1 |

OTL&IC Research Support Activities

| | |
|-------------------------------|-----|
| Material Transfer Agreements | 180 |
| Clinical Trial Agreements | 7 |
| Sponsored Research Agreements | 22 |
| Trademark Agreements | 5 |
| Copyright Agreements | 12 |

Invention Disclosures

| | |
|-------------|----|
| A&S | 3 |
| Engineering | 41 |
| Dental | 3 |
| Medical | 19 |
| Nutrition | 5 |
| Veterinary | 5 |

Total US Patent Apps Filed

| | |
|-------------|----|
| A&S | 9 |
| Engineering | 30 |
| Dental | 2 |
| Medical | 20 |
| Nutrition | 3 |
| Veterinary | 3 |

Material Transfer Agmts

| | |
|-------------|-----|
| A&S | 6 |
| Engineering | 11 |
| Dental | 5 |
| Medical | 129 |
| Nutrition | 14 |
| Veterinary | 15 |

OTL&IC Clinical Trial Agmts

| | |
|-------------|---|
| A&S | 0 |
| Engineering | 0 |
| Dental | 4 |
| Medical | 1 |
| Nutrition | 2 |
| Veterinary | 0 |

OTL&IC Sponsored Research Agmts

| | |
|-------------|---|
| A&S | 0 |
| Engineering | 4 |
| Dental | 5 |
| Medical | 5 |
| Nutrition | 5 |
| Veterinary | 2 |
| Fletcher | 1 |

Technology Licenses/Options

| | |
|-------------|---|
| A&S | 1 |
| Engineering | 6 |
| Dental | 0 |
| Medical | 3 |
| Nutrition | 0 |
| Veterinary | 1 |

Tufts Medical Center

Fiscal Year 2010 (Ended September 30)

Tech Transfer Income Summary

| | |
|------------------------------|-----------|
| Royalty Income | \$403,105 |
| Nonrecurring Income | \$50,000 |
| Legal Expense Reimbursements | \$425,713 |
| Total Income | \$878,818 |

Technology Transfer Activities

| | |
|----------------------------|----|
| Invention Disclosures | 24 |
| New US Patent Apps Filed | 5 |
| Total US Patent Apps Filed | 15 |
| Foreign Patent Apps Filed | 13 |
| US Patents Issued | 2 |
| Licenses/Options Concluded | 7 |
| Startup Companies | 2 |

OTL&IC Research Support Activities

| | |
|-------------------------------|-----|
| Material Transfer Agreements | 108 |
| Sponsored Research Agreements | 1 |
| Nondisclosure Agreements | 52 |

Invention Disclosures

| | |
|---------------|---|
| MCRI* | 6 |
| Medicine | 7 |
| MORI** | 4 |
| Ophthalmology | 0 |
| Pediatrics | 2 |
| Surgery | 2 |
| Other | 3 |

Total US Patent Apps Filed

| | |
|---------------|---|
| MCRI* | 6 |
| Medicine | 2 |
| MORI** | 3 |
| Ophthalmology | 1 |
| Pediatrics | 1 |
| Surgery | 1 |
| Other | 1 |

Material Transfer Agmts

| | |
|---------------|----|
| MCRI* | 23 |
| Medicine | 20 |
| MORI** | 49 |
| Ophthalmology | 1 |
| Pediatrics | 6 |
| Surgery | 3 |
| Other | 6 |

Nondisclosure Agmts

| | |
|---------------|----|
| MCRI* | 2 |
| Medicine | 22 |
| MORI** | 8 |
| Ophthalmology | 4 |
| Pediatrics | 3 |
| Surgery | 8 |
| Other | 5 |

US Patents Issued

| | |
|---------------|---|
| MCRI* | 0 |
| Medicine | 0 |
| MORI** | 1 |
| Ophthalmology | 0 |
| Pediatrics | 0 |
| Surgery | 0 |
| Other | 1 |

Technology Licenses/Options

| | |
|---------------|---|
| MCRI* | 0 |
| Medicine | 2 |
| MORI** | 0 |
| Ophthalmology | 2 |
| Pediatrics | 0 |
| Surgery | 1 |
| Other | 2 |

*MCRI, Molecular Cardiology Research Institute
 **MORI, Molecular Oncology Research Institute

Tufts University

Fiscal Years 2006 to 2010

| | FY06 | FY07 | FY08 | FY09 | FY10 |
|----------------------------------|-------------|-------------|-------------|-------------|-------------|
| Invention Disclosures | 41 | 56 | 62 | 45 | 76 |
| New US Patent Apps Filed | 25 | 22 | 22 | 20 | 29 |
| Total US Patent Apps Filed | 64 | 65 | 70 | 80 | 67 |
| Foreign Patent Apps Filed | 55 | 79 | 89 | 61 | 63 |
| Total Patent Apps Filed | 119 | 144 | 159 | 141 | 130 |
| US Patents Issued | 16 | 11 | 8 | 5 | 19 |
| Options/Licenses Concluded | 14 | 19 | 13 | 9 | 11 |
| Startup Companies | 0 | 5 | 2 | 0 | 1 |
| Royalty Income - Copyrights | \$52,109 | \$37,287 | \$8,252 | \$32,714 | \$57,930 |
| Royalty Income - Trademarks | \$41,158 | \$46,893 | \$52,030 | \$51,251 | \$47,869 |
| Royalty Income - Technology | \$1,024,397 | \$2,687,930 | \$3,568,547 | \$6,872,278 | \$5,674,841 |
| Nonrecurring Income - Technology | \$223,402 | \$753,116 | \$448,826 | \$1,517,876 | \$1,726,348 |
| Total License Income | \$1,341,066 | \$3,525,226 | \$4,077,655 | \$8,474,119 | \$7,506,988 |
| Legal Expense Reimbursements | \$529,130 | \$746,184 | \$798,260 | \$1,190,811 | \$1,057,738 |
| Total Income | \$1,870,196 | \$4,271,410 | \$4,875,915 | \$9,664,930 | \$8,564,726 |

Tufts Medical Center

Fiscal Years 2006 to 2010

| | FY06 | FY07 | FY08 | FY09 | FY10 |
|------------------------------|-------------|-------------|-------------|-----------|-----------|
| Invention Disclosures | 15 | 10 | 29 | 23 | 24 |
| New US Patent Apps Filed | 4 | 5 | 15 | 13 | 5 |
| Total US Patent Apps Filed | 17 | 14 | 27 | 22 | 15 |
| Foreign Patent Apps Filed | 13 | 8 | 9 | 11 | 13 |
| Total Patent Apps Filed | 30 | 22 | 36 | 33 | 28 |
| US Patents Issued | 5 | 4 | 1 | 2 | 2 |
| Options/Licenses Concluded | 4 | 3 | 4 | 2 | 7 |
| Startup Companies | 3 | 0 | 0 | 1 | 2 |
| Royalty Income | \$725,020 | \$726,404 | \$659,314 | \$450,254 | \$403,105 |
| Nonrecurring Income | \$424,164 | \$200,602 | \$537,514 | \$88,500 | \$50,000 |
| Total License Income | \$1,149,184 | \$927,006 | \$1,196,828 | \$538,754 | \$453,105 |
| Legal Expense Reimbursements | \$261,218 | \$187,762 | \$564,441 | \$409,238 | \$425,713 |
| Total Income | \$1,410,402 | \$1,114,768 | \$1,761,269 | \$947,992 | \$878,818 |