

Annual

Report

Fiscal Year

2006



Office for Technology Licensing and Industry Collaboration

TUFTS UNIVERSITY

TUFTS-NEW ENGLAND MEDICAL CENTER

136 Harrison Avenue (75K-950)
Boston, MA 02111

Fax 617.636.2917

Web <http://techtransfer.tufts.edu>

Tufts University

Fiscal Year 2006 (Ended June 30)

OTL&IC Income Summary

| | |
|--|-------------|
| Royalty Income - Technology | \$1,247,799 |
| Royalty Income - Trademarks and Copyrights | \$93,267 |
| Legal Expense Reimbursements | \$529,130 |
| Total Income | \$1,870,196 |

Technology Transfer Activities

| | |
|----------------------------|----|
| New Invention Disclosures | 41 |
| New US Patent Apps Filed | 25 |
| Total US Patent Apps Filed | 64 |
| Foreign Patent Apps Filed | 55 |
| US Patents Issued | 16 |
| Nondisclosure Agreements | 59 |
| Licenses/Options Concluded | 14 |

OTL&IC Research Support Activities

| | |
|-------------------------------|-----|
| Material Transfer Agreements | 107 |
| Clinical Trial Agreements | 8 |
| Sponsored Research Agreements | 21 |
| Trademark Agreements | 6 |
| Copyright Agreements | 2 |

Invention Disclosures

| | |
|-------------|----|
| A&S | 9 |
| Engineering | 7 |
| Dental | 4 |
| Medical | 16 |
| Nutrition | 5 |
| Veterinary | 0 |

US Patent Apps Filed

| | |
|-------------|----|
| A&S | 8 |
| Engineering | 14 |
| Dental | 0 |
| Medical | 25 |
| Nutrition | 9 |
| Veterinary | 8 |

Material Transfer Agmts

| | |
|-------------|----|
| A&S | 4 |
| Engineering | 3 |
| Dental | 2 |
| Medical | 78 |
| Nutrition | 7 |
| Veterinary | 13 |

OTL&IC Clinical Trial Agmts

| | |
|-------------|---|
| A&S | 0 |
| Engineering | 0 |
| Dental | 7 |
| Medical | 1 |
| Nutrition | 0 |
| Veterinary | 0 |

OTL&IC Sponsored Research Agmts

| | |
|-------------|---|
| A&S | 1 |
| Engineering | 4 |
| Dental | 6 |
| Medical | 4 |
| Nutrition | 5 |
| Veterinary | 1 |

Technology Licenses/Options

| | |
|-------------|---|
| A&S | 2 |
| Engineering | 2 |
| Dental | 0 |
| Medical | 9 |
| Nutrition | 0 |
| Veterinary | 1 |

Tufts-New England Medical Center

Fiscal Year 2006 (Ended September 30)

Technology Transfer Income Summary

| | |
|------------------------------|-------------|
| Royalty Income | \$725,020 |
| Nonrecurring Income | \$424,164 |
| Legal Expense Reimbursements | \$261,218 |
| Total Income | \$1,410,402 |

Technology Transfer Activities

| | |
|----------------------------|----|
| New Invention Disclosures | 15 |
| New US Patent Apps Filed | 4 |
| Total US Patent Apps Filed | 17 |
| Foreign Patent Apps Filed | 13 |
| US Patents Issued | 5 |
| Nondisclosure Agreements | 83 |
| Licenses/Options Concluded | 4 |
| Startup Companies | 3 |

OTL&IC Research Support Activities

| | |
|-------------------------------|----|
| Material Transfer Agreements | 85 |
| Clinical Trial Agreements | 2 |
| Sponsored Research Agreements | 2 |
| Copyright Agreements | 8 |

Invention Disclosures

| | |
|------------------|---|
| Medicine | 2 |
| Clinical Care | 1 |
| Gastroenterology | 3 |
| MCRI* | 1 |
| MORI** | 7 |
| Radiology | 1 |
| Surgery | 0 |

US Patent Apps Filed

| | |
|------------------|----|
| Medicine | 11 |
| Clinical Care | 1 |
| Gastroenterology | 1 |
| MCRI* | 0 |
| MORI** | 2 |
| Radiology | 2 |
| Surgery | 0 |

Material Transfer Agmts

| | |
|------------------|----|
| Medicine | 21 |
| Clinical Care | 0 |
| Gastroenterology | 9 |
| MCRI* | 31 |
| MORI** | 23 |
| Radiology | 0 |
| Surgery | 1 |

Nondisclosure Agmts

| | |
|------------------|----|
| Medicine | 44 |
| Clinical Care | 15 |
| Gastroenterology | 12 |
| MCRI* | 3 |
| MORI** | 3 |
| Radiology | 2 |
| Surgery | 4 |

US Patents Issued

| | |
|------------------|---|
| Medicine | 3 |
| Clinical Care | 0 |
| Gastroenterology | 1 |
| MCRI* | 0 |
| MORI** | 0 |
| Radiology | 1 |
| Surgery | 0 |

Technology Licenses/Options

| | |
|------------------|---|
| Medicine | 1 |
| Clinical Care | 0 |
| Gastroenterology | 1 |
| MCRI* | 0 |
| MORI** | 2 |
| Radiology | 0 |
| Surgery | 0 |

*MCRI, Molecular Cardiology Research Institute

**MORI, Molecular Oncology Research Institute

Tufts University

Five Years at a Glance

| Fiscal Years 2002 to 2006 | | | | | |
|------------------------------|-----------|-------------|-----------|-------------|-------------|
| | FY02 | FY03 | FY04 | FY05 | FY06 |
| Invention Disclosures | 48 | 38 | 52 | 45 | 41 |
| New US Patent Apps Filed | 24 | 22 | 18 | 23 | 25 |
| Total US Patent Apps Filed | 52 | 48 | 57 | 69 | 64 |
| Foreign Patent Apps Filed | 26 | 20 | 40 | 33 | 55 |
| Total Patent Apps Filed | 78 | 68 | 97 | 102 | 119 |
| US Patents Issued | 14 | 13 | 13 | 14 | 16 |
| Options/Licenses Concluded | 15 | 20 | 16 | 18 | 14 |
| Startup Companies | 0 | 0 | 3 | 1 | 0 |
| Royalty Income - Copyrights | - | - | - | \$6,570 | \$52,109 |
| Royalty Income - Trademarks | \$15,358 | \$34,151 | \$39,443 | \$49,271 | \$41,158 |
| Royalty Income - Technology | \$511,721 | \$461,768 | \$461,118 | \$532,337 | \$1,024,397 |
| Nonrecurring Income | \$0 | \$280,463 | \$0 | \$50,000 | \$223,402 |
| Total License Income | \$527,079 | \$776,382 | \$500,561 | \$638,178 | \$1,341,066 |
| Legal Expense Reimbursements | \$311,462 | \$224,093 | \$289,778 | \$551,001 | \$529,130 |
| Total Income | \$838,541 | \$1,000,475 | \$790,339 | \$1,189,179 | \$1,870,196 |

Tufts-New England Medical Center

Five Years at a Glance

| Fiscal Years 2002 to 2006 | | | | | |
|------------------------------|-----------|-------------|-------------|-------------|-------------|
| | FY02 | FY03 | FY04 | FY05 | FY06 |
| Invention Disclosures | 15 | 31 | 28 | 17 | 15 |
| New US Patent Apps Filed | 6 | 17 | 10 | 10 | 4 |
| US Patents Issued | 5 | 6 | 3 | 7 | 5 |
| Options/Licenses Concluded | 1 | 5 | 5 | 5 | 4 |
| Startup Companies | - | - | - | - | 3 |
| Royalty Income | - | - | \$657,292 | \$562,207 | \$725,020 |
| Nonrecurring Income | - | - | \$330,318 | \$646,680 | \$424,164 |
| Total License Income | \$562,201 | \$1,181,096 | \$987,610 | \$1,208,887 | \$1,149,184 |
| Legal Expense Reimbursements | \$274,113 | \$47,991 | \$144,348 | \$99,927 | \$261,218 |
| Total Income | \$836,314 | \$1,229,087 | \$1,131,958 | \$1,308,814 | \$1,410,402 |