

# Annual Report

Fiscal Year  
2011



## Office for Technology Licensing and Industry Collaboration

TUFTS UNIVERSITY  
TUFTS MEDICAL CENTER

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# Tufts University

## Fiscal Year 2011 (Ended June 30)

### OTL&IC Income Summary

Income - Technology	\$2,446,595
Income - Trademarks and Copyrights	\$73,205
Legal Expense Reimbursements	\$1,096,733
Total Income	\$3,616,533

### Technology Transfer Activities

Invention Disclosures	53
New US Patent Apps Filed	32
Total US Patent Apps Filed	91
Foreign Patent Apps Filed	77
US Patents Issued	16
Nondisclosure Agreements	95
Licenses/Options Concluded	10
Startup Companies	2

### OTL&IC Research Support Activities

Material Transfer Agreements	166
Clinical Trial Agreements	3
Sponsored Research Agreements	29
Trademark Agreements	5
Copyright Agreements	11

#### Invention Disclosures

A&S	3
Engineering	30
Dental	0
Medical	17
Nutrition	1
Veterinary	2

#### Total US Patent Apps Filed

A&S	1
Engineering	44
Dental	0
Medical	38
Nutrition	2
Veterinary	6

#### Material Transfer Agmts

A&S	10
Engineering	8
Dental	7
Medical	111
Nutrition	13
Veterinary	17

#### OTL&IC Clinical Trial Agmts

A&S	0
Engineering	0
Dental	3
Medical	0
Nutrition	0
Veterinary	0

#### OTL&IC Sponsored Research Agmts

A&S	1
Engineering	5
Dental	5
Medical	5
Nutrition	7
Veterinary	5
University-wide	1

#### Technology Licenses/Options

A&S	0
Engineering	2
Dental	0
Medical	6
Nutrition	0
Veterinary	1
University-wide	1

# Tufts Medical Center

## Fiscal Year 2011 (Ended September 30)

### Tech Transfer Income Summary

Royalty Income	\$474,156
Nonrecurring Income	\$1,227,533
Legal Expense Reimbursements	\$643,473
Total Income	\$2,345,162

### Technology Transfer Activities

Invention Disclosures	48
New US Patent Apps Filed	21
Total US Patent Apps Filed	38
Foreign Patent Apps Filed	18
US Patents Issued	4
Licenses/Options Concluded	7

### OTL&IC Research Support Activities

Material Transfer Agreements	60
Sponsored Research Agreements	3
Nondisclosure Agreements	99

#### Invention Disclosures

MCRI*	7
Medicine	10
MORI**	9
Ophthalmology	5
Pediatrics	0
Surgery	2
Other	15

#### Total US Patent Apps Filed

MCRI*	6
Medicine	4
MORI**	5
Ophthalmology	8
Pediatrics	2
Surgery	2
Other	11

#### Material Transfer Agmts

MCRI*	19
Medicine	10
MORI**	26
Ophthalmology	0
Pediatrics	2
Surgery	2
Other	1

#### Nondisclosure Agmts

MCRI*	8
Medicine	40
MORI**	7
Ophthalmology	2
Pediatrics	19
Surgery	12
Other	11

#### US Patents Issued

MCRI*	1
Medicine	2
MORI**	0
Ophthalmology	0
Pediatrics	0
Surgery	0
Other	1

#### Technology Licenses/Options

MCRI*	0
Medicine	3
MORI**	0
Ophthalmology	3
Pediatrics	0
Surgery	1
Other	0

\*MCRI, Molecular Cardiology Research Institute

\*\*MORI, Molecular Oncology Research Institute

## Tufts University

### Fiscal Years 2007 to 2011

	FY07	FY08	FY09	FY10	FY11
Invention Disclosures	56	62	45	76	53
New US Patent Apps Filed	22	22	20	29	32
Total US Patent Apps Filed	65	70	80	67	91
Foreign Patent Apps Filed	79	89	61	63	77
Total Patent Apps Filed	144	159	141	130	168
US Patents Issued	11	8	5	19	16
Options/Licenses Concluded	19	13	9	11	10
Startup Companies	5	2	0	1	2
Royalty Income - Copyrights	\$37,287	\$8,252	\$32,714	\$57,930	\$20,865
Royalty Income - Trademarks	\$46,893	\$52,030	\$51,251	\$47,869	\$52,340
Royalty Income - Technology	\$2,687,930	\$3,568,547	\$6,872,278	\$5,674,841	*\$1,959,101
Nonrecurring Income - Technology	\$753,116	\$448,826	\$1,517,876	\$1,726,348	\$487,494
Total License Income	\$3,525,226	\$4,077,655	\$8,474,119	\$7,506,988	\$2,519,800
Legal Expense Reimbursements	\$746,184	\$798,260	\$1,190,811	\$1,057,738	\$1,096,733
Total Income	\$4,271,410	\$4,875,915	\$9,664,930	\$8,564,726	\$3,616,533

\* Royalty dispute

## Tufts Medical Center

### Fiscal Years 2007 to 2011

	FY07	FY08	FY09	FY10	FY11
Invention Disclosures	10	29	23	24	48
New US Patent Apps Filed	5	15	13	5	21
Total US Patent Apps Filed	14	27	22	15	38
Foreign Patent Apps Filed	8	9	11	13	18
Total Patent Apps Filed	22	36	33	28	56
US Patents Issued	4	1	2	2	4
Options/Licenses Concluded	3	4	2	7	7
Startup Companies	0	0	1	2	0
Royalty Income	\$726,404	\$659,314	\$450,254	\$403,105	\$474,156
Nonrecurring Income	\$200,602	\$537,514	\$88,500	\$50,000	\$1,227,533
Total License Income	\$927,006	\$1,196,828	\$538,754	\$453,105	\$1,701,689
Legal Expense Reimbursements	\$187,762	\$564,441	\$409,238	\$425,713	\$643,473
Total Income	\$1,114,768	\$1,761,269	\$947,992	\$878,818	\$2,345,162